SHELTON CAPITAL MANAGEMENT
P.O. Box 87 Denver, CO 80201-0087
Main (800) 955-9988 | Fax (303) 534-5627
info@sheltoncap.com

IRA Conversion Form

Use this form to convert your existing Traditional IRA to a new or existing Roth IRA. If you are establishing a new Shelton Capital Management Roth IRA, an IRA Application must accompany this form.

INVESTOR INFORMATION

Full Name/Account Title: ______ Social Security Number: ______

Street Address (no P.O. Box): ______

City: ______ State: ______ Zip Code: ______

Day Phone: ______ Birthdate: ______ E-mail Address: ______

Traditional IRA Account Number: ______

CONVERSION ELECTION

☐ Full Conversion - Please convert the entire balance of all Shelton Funds held in a Traditional IRA.

☐ Partial Conversion - Please convert the following fund(s) held in my Traditional IRA:

Fund	Amount	Fund	Amount
☐ Green CA Tax-Free Income Fund	$	☐ Shelton Green Alpha Fund	$
☐ U.S. Government Securities Fund	$	☐ Shelton Equity Income Fund	$
☐ United States Treasury Trust	$	☐ Shelton Nasdaq-100 Fund	$
☐ Short-Term U.S. Government Bond Fund	$	☐ Shelton International Select Equity Fund	$
☐ S&P 500 Index Fund	$	☐ Shelton Tactical Credit Fund	$
☐ S&P MidCap Index Fund	$	☐ Shelton Emerging Markets Fund	$
☐ S&P SmallCap Index Fund	$		

TAX WITHHOLDING ELECTION

The conversion of an existing Traditional IRA to a Roth IRA is considered a distribution of the amount converted. IRA rules require Constellation Trust Co. as custodian of your Shelton Capital Management Traditional IRA to withhold 10% of the total amount converted for federal income tax unless you elect not to have withholding apply.

☐ I do not want federal income tax withheld on my conversion. I understand that I will be responsible for paying any income tax due as a result of my conversion.

☐ Please withhold federal income tax on my conversion at a rate of ______ %. (Insert a percentage of 10% or greater. If you check this box, a withholding rate of 10% will be used if you do not specify or if percentage is less than 10%.

ROTH IRA INFORMATION

Please specify the Roth IRA into which your conversion should be transferred.

☐ Please deposit the conversion assets into my existing Roth IRA. If my existing Roth IRA contains only annual contributions, I realize I will be combining conversion contributions and annual contributions.

Existing Roth IRA Account Number: ______

☐ Please open a new Shelton Capital Management Roth IRA and invest the conversion assets as described on my Roth IRA application.

AUTOMATIC INVESTMENT PLAN OPTION

If you currently participate in an Automatic Investment Plan on your existing Traditional IRA, this option will be cancelled unless indicated below:

☐ Please continue the Automatic Investment Plan on my Traditional IRA.

SIGNATURE

I have read and understand the conversion rules located in the Shelton Capital Management IRA Disclosure Statement & Custodial Account Agreement booklet. I understand that the requirements for a valid Roth IRA conversion are complex. I assume full responsibility for complying with all the requirements and for the tax results of any such transaction. I also understand that inaccurate information may result in adverse tax consequences or IRS penalties. Please consult a tax professional if you have any questions.

Signature: ______ ______